

**ORIGINATOR: CHIEF EXECUTIVE**

**PAPER NO: AC14/6**

**SUBMITTED TO: AUDIT COMMITTEE**

**SUBJECT: RISK MANAGEMENT**

**SUMMARY:**

1. This report sets out the position on compliance with the Police and Crime Commissioner's Risk Management Strategy.

**RECOMMENDATION:**

The Committee is requested to consider the report.

## **1. INTRODUCTION**

- 1.1 The Police and Crime Commissioner (PCC) has adopted a Risk Management Strategy dated 1 March 2013. Within the Strategy the Chief Executive is required to report to the PCC annually on risk management activity, as well as delivery of an annual assessment to the Audit Committee on compliance with the Strategy. This report responds to these two requirements. It is further provided within the Strategy that the review of compliance within the Strategy may be undertaken by arrangement with the internal auditors.
- 1.2 The PCC's Risk Management Strategy is attached as Appendix A.

## **2. INTERNAL AUDIT REPORT**

- 2.1 The Internal Auditors have reviewed compliance against the PCC's Risk Management Strategy and their report is attached as Appendix B. The overall audit opinion upon compliance is "Effective."
- 2.2 To accommodate the recommendations of the internal auditors the Risk Register was reviewed during February 2014 and signed by the PCC as evidence of his involvement in the process. This version of the Risk Register along with the earlier versions is published via the Website. For ease of reference a copy is attached as Appendix C.

## **3. FINANCIAL IMPLICATIONS:**

- 3.1 There are no financial implications.

## **4. OTHER IMPLICATIONS AND RISKS:**

- 4.1 There are no other/risk implications.

| <b>ORIGINATOR CHECKLIST (MUST BE COMPLETED)</b>  | <b>PLEASE STATE<br/>'YES' OR 'NO'</b>                      |
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| Has legal advice been sought on this submission?   | Yes – the author is a solicitor and the Monitoring Officer |
| Has the PCC's Chief Finance Officer been consulted?  | Yes  |
| Have equality, diversity and human rights implications been considered including equality analysis, as appropriate?                  | Not applicable   |
| Have human resource implications been considered?  | Not applicable   |
| Is the recommendation consistent with the objectives in the Police and Crime Plan?   | Not applicable   |
| Has consultation been undertaken with people or agencies likely to be affected by the recommendation?                                | Not applicable   |
| Has communications advice been sought on areas of likely media interest and how they might be managed?                               | Not applicable   |
| In relation to the above, have all relevant issues been highlighted in the 'other implications and risks' section of the submission? | Yes  |