



ORIGINATOR: CHIEF EXECUTIVE

DECISION NO. 17-2013

REASON FOR SUBMISSION: . FOR DECISION

SUBMITTED TO: POLICE AND CRIME COMMISSIONER

SUBJECT: RISK MANAGEMENT

SUMMARY:

This paper presents a Risk Management Strategy and Risk Register for consideration and adoption by the Police and Crime Commissioner (PCC).

RECOMMENDATION:

It is recommended that the PCC:

1. Adopts the Risk Management Strategy at Appendix 1.
2. Adopts the Risk Register at Appendix 2.

APPROVAL BY: PCC

The recommendation set out above is agreed.

Signature *Tina Parnmore*

Date 1/03/2013

DETAIL OF THE SUBMISSION

1. INTRODUCTION

- 1.1 The Chartered Institute for Public Finance and Accountancy (CIPFA) published a Guidance Note for Police in late 2012 upon "Delivering Good Governance in Local Government". It covered, amongst other things, risk management. This Guidance Note made it clear that good governance requires that risk management is embedded into the culture of the organisation. Further it provides that PCC's have a dual responsibility for putting in place arrangements to arrange their own risks and for monitoring that the force has adequate risk management arrangements in place.
- 1.2 In order to ensure an effective level of risk management the Risk Management Strategy at Appendix 1 has been prepared. This is essentially a statement of the various responsibilities attributable to key individuals and groups to ensure that risk is managed and governed in the office of the PCC and the Constabulary. A Risk Register has also been drawn up, based on the final Risk Register of the Police Authority, for the PCC to approve.
- 1.3 The CIPFA Guidance Note also makes clear that PCCs and Chief Constables will need assistance in carrying out their risk responsibilities and will need to identify the most effective structure for them to oversee the management of risk. This can be achieved through an Audit Committee convened in accordance with best practice. Decision 11 – 2013 has recently made provision for the introduction of an interim Audit Committee. The Risk Management Strategy has provided for the involvement of an Audit Committee to oversee the management of risk.

2. FINANCIAL IMPLICATIONS:

- 2.1 There are no financial implications of any significance arising from consideration of this paper.

3. OTHER IMPLICATIONS AND RISKS:

- 3.1 There are no other implications or risks of significance associated with consideration of this paper.

ORIGINATOR CHECKLIST (MUST BE COMPLETED)	PLEASE STATE 'YES' OR 'NO'
Has legal advice been sought on this submission?	No – originator is a Solicitor and the Monitoring Officer.
Has the PCC's Chief Finance Officer been consulted?	Yes.
Have equality, diversity and human rights implications been considered including equality analysis, as appropriate?	Yes.
Have human resource implications been considered?	Yes.
Is the recommendation consistent with the objectives in the Police and Crime Plan?	Not applicable
Has consultation been undertaken with people or agencies likely to be affected by the recommendation?	Yes – discussion has taken place with the Suffolk Constabulary and the internal auditors.
Has communications advice been sought on areas of likely media interest and how they might be managed?	No – not considered necessary.
In relation to the above, have all relevant issues been highlighted in the 'other implications and risks' section of the submission?	Yes

APPROVAL TO SUBMIT TO THE DECISION-MAKER

Chief Executive

I am satisfied that relevant advice has been taken into account in the preparation of the report and that this is an appropriate request to be submitted to the PCC.

Signature:



Date 1 March 2013

