

# **FREEDOM OF INFORMATION ACT 2000**

# **PUBLICATION SCHEME**

Christopher Jackson Chief Executive September 2014 Note: This Publication Scheme has been prepared in accordance with the model publication scheme prepared and approved by the Information Commissioner. Such a model publication scheme may be adopted without modification without further approval from the Information Commissioner and will be valid until further notice.

The Publication Scheme is supplemented by the Definition Document for Elected Local Policing Bodies produced by the Information Commissioner, and which is attached at Appendix 1. A brief guide to the Publication Scheme is attached at Appendix 2.



# **Publication Scheme**

# Freedom of Information Act 2000

This publication scheme is based upon the model publication scheme which has been prepared and approved by the Information Commissioner. The model publication scheme may be adopted without modification by any public authority including a Police and Crime Commissioner without further approval and will be valid until further notice.

This publication scheme commits the Police and Crime Commissioner to make information available to the public as part of his normal business activities. The information covered is included in the classes of information mentioned below, where this information is held by the Police and Crime Commissioner. Additional assistance is provided to the definition of these classes in sector specific guidance manuals issues by the Information Commissioner. The Definition Document appropriate to the Police and Crime Commissioner is attached at Appendix 1.

The scheme commits the Police and Crime Commissioner:

- To proactively publish or otherwise make available as a matter of routine, information, including environmental information, which is held by the Police and Crime Commissioner and falls within the classifications below.
- To specify the information which is held by the Police and Crime Commissioner and falls within the classifications below.
- To proactively publish or otherwise make available as a matter of routine, information in line with the statements contained within this scheme.
- To produce and publish the methods by which the specific information is made routinely available so that it can be easily identified and accessed by members of the public.
- To review and update on a regular basis the information the Police and Crime Commissioner makes available under this scheme.
- To produce a schedule of any fees charged for access to information which is made proactively available.
- To make this publication scheme available to the public.
- To publish any dataset held by the Police and Crime Commissioner that has been requested, and any updated versions it holds, unless the Police and Crime Commissioner is satisfied that it is not appropriate to do so; to publish the dataset, where reasonably practicable, in an electronic form that is capable of re-use; and, if any information in the dataset is a relevant copyright work and the public authority is the only owner, to make the information available for re-use under a specified licence. The term 'dataset' is defined in section 11(5) of the Freedom of Information Act 2000. The terms 'relevant copyright work' and 'specified licence' are defined in section 19(8) of that Act.

# Classes of information

#### Who we are and what we do

Organisational information, locations and contacts, constitutional and legal governance.

### What we spend and how we spend it

Financial information relating to projected and actual income and expenditure, tendering, procurement and contracts.

## What our priorities are and how we are doing

Strategy and performance information, plans, assessments, inspections and reviews.

#### How we make decisions

Policy proposals and decisions. Decision making processes, internal criteria and procedures, consultations.

#### Our policies and procedures

Current written protocols for delivering our functions and responsibilities.

#### Lists and registers

Information held in registers required by law and other lists and registers relating to the functions of the authority.

#### The services we offer

Advice and guidance, booklets and leaflets, transactions and media releases. A description of the services offered.

The classes of information will not generally include:

- Information the disclosure of which is prevented by law, or exempt under the Freedom of Information Act 2000, or is otherwise properly considered to be protected from disclosure.
- Information in draft form.
- Information that is no longer readily available as it is contained in files that have been placed in archive storage, or is difficult to access for similar reasons.

# The method by which information published under this scheme will be made available

The Police and Crime Commissioner will indicate clearly to the public what information is covered by this scheme and how it can be obtained.

Where it is within the capability of the Police and Crime Commissioner, information will be provided on a website. Where it is impracticable to make information available on a website or when an individual does not wish to access the information by the website, the Police and Crime Commissioner will indicate how information can be obtained by other means and provide it by those means.

In exceptional circumstances some information may be available only by viewing in person. Where this manner is specified, contact details will be provided. An appointment to view the information will be arranged within a reasonable timescale.

Information will be provided in the language in which it is held or in such other language that is legally required. Where a Police and Crime Commissioner is legally required to translate any information, he will do so.

Obligations under disability and discrimination legislation and any other legislation to provide information in other forms and formats will be adhered to when providing information in accordance with this scheme.

# Charges which may be made for information published under this scheme

The purpose of this scheme is to make the maximum amount of information readily available at minimum inconvenience and cost to the public. Charges made by the Police and Crime Commissioner for routinely published material will be justified and transparent and kept to a minimum.

Material which is published and accessed on a website will be provided free of charge.

Charges may be made for information subject to a charging regime specified by Parliament.

Charges may be made for actual disbursements incurred such as:

- Photocopying
- Postage and packaging
- The cost directly incurred as a result of viewing information

Charges may also be made for information provided under this scheme where they are legally authorised, they are in all the circumstances, including the general principles of the right of access to information held by public authorities, justified and are in accordance with a published schedule or schedule of fees which is readily available to the public.

Charges may also be made for making datasets (or parts of datasets) that are relevant copyright works available for re-use. These charges will be in accordance with either regulations made under section 11B of the Freedom of Information Act 2000 or other enactments.

If a charge is to be made, confirmation of the payment due will be given before the information is provided. Payment may be requested prior to provision of the information.

# Written requests

Information held by the Police and Crime Commissioner that is not published under this scheme can be requested in writing, when its provision will be considered in accordance with the provisions of the Freedom of Information Act 2000.



# Freedom of Information Act 2000

# Definition document for Elected Local Policing Bodies (published by the Information Commissioner's Office)

This guidance gives examples of the kinds of information that we would expect Elected Local Policing Bodies in England and Wales (Police and Crime Commissioners and the Mayor's Office for Policing and Crime) to provide in order to meet their commitments under the model publication scheme.

We would expect these bodies to make the information in this definition document available unless:

- they do not hold the information;
- the information is exempt under one of the FOIA exemptions or Environmental Information Regulations exceptions, or its release is prohibited under another statute;
- the information is readily and publicly available from an external website; such
  information may have been provided either by the elected local policing body or on its
  behalf. The elected local policing body must provide a direct link to that information;
- the information is archived, out of date or otherwise inaccessible; or,
- it would be impractical or resource-intensive to prepare the material for routine release

The guidance is not meant to give an exhaustive list of everything that should be covered by a publication scheme. The legal commitment is to the model publication scheme, and public authorities should look to provide as much information as possible on a routine basis.

# Publishing datasets for re-use

Public authorities must publish under their publication scheme any dataset they hold that has been requested, together with any updated versions, unless they are satisfied that it is not appropriate to do so. So far as reasonably practicable, they must publish it in an electronic form that is capable of re-use.

If the dataset or any part of it is a relevant copyright work and the public authority is the only owner, the public authority must make it available for re-use under the terms of a specified licence. Datasets in which the Crown owns the copyright or the database rights are not relevant copyright works.

The Datasets Code of Practice recommends that public authorities make datasets available for re-use under the Open Government Licence.

The term 'dataset' is defined in section 11(5) of FOIA. The terms 'relevant copyright work' and 'specified licence' are defined in section 19(8) of FOIA. The ICO has published guidance on the

dataset provisions in FOIA. This explains what is meant by "not appropriate" and "capable of re-use".

# Who we are and what we do

Organisational information, structures, locations and contacts.

We would normally expect information in this class to be for the current year only.

# Structure of the Elected Local Policing Body (ELPB)

Names and profiles of the Police and Crime Commissioner and Deputy Police and Crime Commissioner. Identity of Officers of the Elected Policing Body and senior staff (for the purposes of this document, those earning £58,200 per annum or above). Details of internal boards/committees and names of those who sit on them. (In relation to officers, senior staff and members of internal boards/committees, consent to disclosure of names may be refused if there is a legitimate reason.)

# Staff structure of the Elected Local Policing Body

Basic staff structure, such as organisational structure, and other details indicating the ELPB's administrative support.

#### Contact information

Contact details, preferably by reference to name and address for correspondence.

- Geographical area of operation
- General outline of responsibilities
- Appointment of independent custody visitors and associated arrangements
- Relationships with other bodies

Partnership arrangements, or other joint arrangements, with statutory and non-statutory partners and relationships with other key bodies.

# What we spend and how we spend it

Financial information relating to projected and actual income and expenditure, procurement, contracts and financial audit.

We would expect as a minimum that financial information for the current and previous two financial years should be available.

- The budget of the ELPB (including the total amount allocated to the Police Force)
- Annual statement of the ELPB's accounts
- Policing precept and/or information on other sources of income, including grants
- Annual investment strategy
- Expenditure

Details of items of expenditure over £500, including costs, supplier and transaction information (monthly).

Details of contracts currently being tendered

#### Contracts

We would normally only expect the ELPB to publish contracts and invitations to tender that exceed £10,000. A list of contracts under £10,000 should also be published, to include value, identity of the parties and purpose of the contract.

Expenses and allowances paid to or incurred by the ELPB and senior employed staff

Details of the allowances and expenses that can be claimed or incurred. It should include the total of the allowances and expenses incurred by or paid to Police and Crime Commissioners and individual senior staff, by reference to categories. These categories should be produced in line with the ELPB's policies, practices and procedures and will be under headings like travel, subsistence and accommodation.

## Pay and grading structure

This may be provided as part of the ELPB structure and should, as a minimum, include senior staff salaries. The salaries should be stated in bands of £5,000. For those earning less than £58,200 per annum, levels of pay should be identified by salary range.

The 'pay multiple' – the ratio between the highest paid salary and the median average salary of the whole of the workforce.

- Annual audit letter
- Financial audit reports
- Internal financial regulations and delegated authority

# What our priorities are and how we are doing

Strategies and plans, performance indicators, audits, inspections and reviews.

We would expect information in this class to be available at least for the current and previous two years.

- Police and Crime Plan or other strategic plan or local policing objectives set for the Police Force
- Annual Report

This will comprise the report on the exercise of the ELPB's functions and the progress made in meeting the objectives in the police and crime plan.

- Reports presented to the ELPB indicating service provision, performance assessments, operational assessments of the police force
- Information on the performance of the ELPB
- Reports by external inspectors and auditors

This will also include responses to HMIC about inspection reports on the Police Force.

- Statistical information provided to the ELPB
- Privacy impact assessments (in full or summary format)

#### How we make decisions

Decision making processes and records of decisions.

We would expect information in this class to be available at least for the current and previous two years.

- Schedule of meetings open to the public
- Agendas and approved minutes of each public meeting and any other decisionmaking meetings
- Background papers for meetings open to the public
- Records of important decisions

These will be records of decisions arising from the exercise of the ELPB's functions made either at meetings or elsewhere.

- Procedures, facts and analyses of facts used for decision making
- Public consultations

Details of consultation exercises, with access to the consultation papers. The results and outcomes of public policing consultation exercises and surveys.

#### Our policies and procedures

Current written protocols, policies and procedures for delivering our services and responsibilities.

We would normally expect information in this class to be for the current year only.

Policies and procedures for the conduct of the ELPB's business

Standing Orders, delegated powers, corporate governance, consent or governance framework, code of conduct, memoranda of understanding and similar information.

Policies and procedures for the provision of services

This will include any policies and procedures for handling requests for information.

- Policies and procedures for procurement and commissioning arrangements
- Policies and procedures about the employment of staff

Where the ELPB employs its own staff, or shares staff with another body (for example, the police force), details of the policies in place. Where staff are employed through another body, reference to the policies of that body. If vacancies are advertised as part of recruitment policies, details of current vacancies will be readily available.

N.B. where the ELPB has written policies and procedures falling within the above three categories, there should be ready access to them. A number of polices, for example equality and diversity, health and safety and conduct, will cover both the provision of services and the employment of staff. If an ELPB has an Equality Scheme, this should be made available. A policy for outside business interests of senior employees and staff would be both a policy for the conduct of business and an employment policy.

Where procedures are developed in combination with other public authorities, these should also be available.

## Complaints procedures

This will include procedures for handling/overseeing complaints against the Chief Constable and the police force as well as complaints against the policing body. Complaints procedures will include those covering requests for information and operating the publication scheme.

## Records management and personal data policies

This will include information security policies, records retention, destruction and archive policies, and data protection (including data sharing) policies.

- **Fileplans** (or any other Business Classification Scheme used for the management of information high level only)
- Charging regimes and policies

Details of any statutory charging regimes should be provided. Charging policies should include charges made for information routinely published. They should clearly state what costs are to be recovered, the basis on which they are made, and how they are calculated.

If the ELPB charges a fee for licensing the re-use of datasets, it should state in its guide to information how this is calculated and whether the charge is made under the Re-use Fees Regulations or under other legislation. It cannot charge a re-use fee if it makes the datasets available for re-use under the Open Government Licence.

# Lists and registers

We expect this to be information contained only in currently maintained lists and registers.

- Any information we are legally required to hold in publicly available registers
- Asset register

We would not expect an ELPB to publish all details from all asset registers. We would, however, expect the location of public land and building assets and key attribute information that is normally recorded on an asset register to be available along with some other information from capital asset registers.

# Information asset register

If an ELPB has prepared an information asset register, it should publish the contents.

- Registers of interests
- Register of gifts and hospitality (senior personnel)

This should include details of gifts, given or received and details of any hospitality afforded and by which organisation. Gifts and hospitality declined should also be included.

# Disclosure log

Where an information disclosure log is produced indicating the information that has been provided in response to FOI requests it should be readily available. Disclosure logs are themselves recommended as good practice.

### The services we offer

Information about the services we offer, including leaflets, guidance and newsletters.

In general, this will be an extension of the first class of information, 'Who we are and what we do', as it will detail the services that the organisation provides. The starting point would normally be a list of the services that fall within the responsibility of the organisation, linked to details of those services.

- Information about any services provided by the ELPB
- Leaflets and explanatory booklets
- Media releases
- Any services provided by the ELPB for which the ELPB is entitled to recover a fee, together with those fees



# Freedom of Information Act 2000

# Brief Guide to the Publication Scheme

#### Introduction

The information described in the Publication Scheme and the Definition Document for Elected Local Policing Bodies is published where available as is required.

In addition the Police and Crime Commissioner also publishes the information that is required by the Elected Local Policing Bodies (Specified Information) Order 2011 (as amended).

#### The Method of Publication of Material

The Police and Crime Commissioner seeks to make as much of the information that is required to be published available through his website.

Where it is impracticable to make information available on the website or when an individual does not wish to access the information by the website, the Office of the Police and Crime Commissioner (OPCC) will consider how information can be obtained by other means.

In exceptional circumstances some information may be available only by viewing in person. Where this manner is specified, contact details will be provided. An appointment to view the information will be arranged within a reasonable timescale.

Information will be provided in the language in which it is held or in such other language that is legally required. Where the Police and Crime Commissioner is legally required to translate any information, he will do so.

Obligations under disability and discrimination legislation and any other legislation to provide information in other forms and formats will be adhered to when providing information in accordance with this Scheme.

### Charges Which May be Made

The purpose of the Scheme is to make the maximum amount of information readily available at minimum inconvenience and cost to the public. Charges made by the Police and Crime Commissioner for routinely published material will be justified and transparent and kept to a minimum.

Wherever possible, material which is published and accessed via the website will be free of charge.

Charges may be made for information subject to a charging regime specified by Parliament.

Charges may be made for actual disbursements incurred such as:

- Photocopying;
- Postage and packaging;
- The costs directly incurred as a result of viewing information.

Charges may also be made for information provided under the Scheme where they are legally required and where they are in all the circumstances justified and are in accordance with a published schedule of fees which is readily available to the public.

If a charge is to be made, confirmation of the payment due will be given before the information is provided. Payment may be requested prior to the provision of the information.

## Written Requests

Information held by the Police and Crime Commissioner that is not published under this Scheme can be requested in writing. The request will be considered in accordance with the provisions of the Freedom of Information Act 2000.

The Act requires that requests for information are responded to within 20 working days, starting from the working day after your request is received. If it is necessary to clarify any aspect of your request, we will contact you. It would be helpful if you could provide a telephone number.

The response to your request will be provided by email or post. If it is decided to refuse your request the reasons will be explained, including the exemptions that have been applied if appropriate. In the event that the information requested is held by another organisation, rather than the Police and Crime Commissioner, we will contact you to ensure that you are content for us to transfer the request to that organisation.

#### Queries

If you have any queries or should you encounter any difficulties in accessing information through the website or otherwise you may contact the Office of the Police and Crime Commissioner by:

•	Telephone	on	01473 782770
•	Email	on	spcc@suffolk.pnn.police.uk
•	Post	at	Office of the Police and Crime Commissioner for Suffolk
			Police Headquarters
			Martlesham Heath
			Ipswich
			IP5 3QS

A member of staff will assist you where possible to access the information you require.

#### Internal Review

If you consider that we have not supplied information in accordance with the Publication Scheme, or you are dissatisfied with the way in which your request has been dealt with, you should write to the Chief Executive at the above address.

We may, in the first instance, try and resolve your complaint informally. However, at any stage you can request, or we may decide, to treat your complaint formally under the following internal review process.

The Police and Crime Commission's Chief Executive conducts a review. He will review the request and response taking account of your complaint(s). As recommended by the Information Commissioner's Office, we will aim to send a response in writing by post or email within 20 working days. If we are unable to respond within this timeframe, we will inform you and provide a date by which you should expect to receive a reply.

If you remain dissatisfied, you can complain to the Information Commissioner's Office, the government regulator for the Freedom of Information Act. Details of how to contact the Information Commissioner's Office can be found at <a href="https://www.ico.gov.uk">www.ico.gov.uk</a>.